

Duality Theorems for Rings with Actions or Coactions

MARGARET BEATTIE

*Department of Mathematics and Computer Science, Mount Allison University,
Sackville, New Brunswick, Canada E0A 3C0*

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Suppose A is an algebra with unit over a commutative ring k . Then it is well known that a group G acts as a group of ring automorphisms on A if and only if A is a $k[G]$ -module algebra where the group ring $k[G]$ has the usual Hopf algebra structure. Also, A is a G -graded ring if and only if A is a $k[G]$ -comodule algebra. If G is finite, then $k[G]^*$, the dual of $k[G]$, is also a Hopf algebra, and A is a $k[G]$ -comodule algebra if and only if A is a $k[G]^*$ -module algebra. Cohen and Montgomery have shown in [8] that for G finite of order n , if A is a $k[G]$ -module algebra, then

$$(A \# k[G]) \# k[G]^* \cong M_n(A),$$

and if A is a $k[G]^*$ -module algebra,

$$(A \# k[G]^*) \# k[G] \cong M_n(A).$$

If G is infinite, however, $k[G]^*$ is no longer a Hopf algebra.

In this note, we extend the definition of $k[G]^*$ to infinite G and prove duality theorems analogous to those of Cohen and Montgomery by using the Morita theory for rings with local units developed by Anh and Marki [2]. We let $G * A$ denote our generalization of $A \# k[G]^*$; the rings coincide if G is finite. For infinite G , $G * A$ is a nonunitary subring of the ring $\tilde{A} \# G$ defined by Quinn [10] in his discussion of duality and is an essential left and right ideal of $\tilde{A} \# G$. G acts as a group of ring automorphisms on $G * A$ with $(G * A)^G \cong A$ if G is finite and (0) otherwise. Furthermore, $G * A$ has the nice property that the category of left (right) unitary $G * A$ -modules is isomorphic to the category of left (right) G -graded A -modules.

To prove our first duality theorem, we define a Morita context for a graded ring A ; this Morita context is strict if and only if A is strongly G -

graded. For S a ring on which G acts as a group of automorphisms, let A be $S * G$, the skew group ring; then, from our Morita context, we obtain

$$G * (S * G) \cong M_G(S)^{\text{fin}},$$

where $M_G(S)^{\text{fin}}$ is the ring of matrices over S with rows and columns indexed by G and with only finitely many nonzero entries. Note that if S is a field, then $M_G(S)^{\text{fin}}$ is dense in $\text{End}_S(S * G)$ with the finite-discrete topology [5].

To obtain our second duality theorem, namely that for R a G -graded ring,

$$(G * R) * G \cong M_G(R)^{\text{fin}},$$

we first define a strict Morita context with the rings $(G * R) * G$ and R and then use the arguments of the first section to obtain the duality statement. If G is finite so that $G * R$ has a unit and $(G * R)^G \cong R$, then this Morita context is that of Cohen in [6]. This second duality result was also proved by Quinn [10] by a different method.

PRELIMINARIES

Throughout, G will denote a group with identity e . A ring A is called a G -graded ring if $A = \bigoplus_{g \in G} A_g$ and $A_g A_h \subseteq A_{gh}$ for all $g, h \in G$. If $A_g A_h = A_{gh}$ for all $g, h \in G$, then A is called strongly G -graded. By [9, Lemma 1.3.2], if A has a unit, A is strongly G -graded if and only if $R_g R_{g^{-1}} = R_e$ for all $g \in G$. An element a in A_g is called homogeneous of grade g and may be denoted $a = a_g$. Any element a in A may be written uniquely as $a = \sum_{g \in G} a_g$ with $a_g \in A_g$ and only finitely many homogeneous components a_g nonzero. A left A -module M is called a graded left A -module if $M = \bigoplus_{g \in G} M_g$ and $A_g M_h \subseteq M_{gh}$. The category $A\text{-gr}$ is the category of graded left A -modules with morphisms the graded morphisms of degree e , i.e., the grade-preserving A -module homomorphisms. Further details on graded rings may be found in [9].

1. A MORITA CONTEXT FOR GRADED RINGS AND A DUALITY THEOREM FOR GROUP ACTIONS

Let R be a G -graded ring with unit. We begin by defining $G * R$, our candidate for a ring to play the role that the skew group ring plays for rings with G -action.

DEFINITION 1.1. For R a G -graded ring, define $G * R$ to be the ring whose elements are (finite) sums of elements of the form xv_g , $x \in R$, $g \in G$ with

$$xv_g + yv_g = (x + y)v_g \quad \text{and} \quad (xv_g)(yv_h) = xy_{gh^{-1}}v_h.$$

The definition of multiplication then extends as usual to finite sums. We write v_g for $1v_g$. Note that $v_gv_h = v_g$ if $g = h$ and 0 otherwise.

It is easy to check that this definition gives an associative ring. If G is finite, then $\sum_{g \in G} v_g$ is a unit in $G * R$; otherwise $G * R$ is a ring without a unit. For finite G , $G * R$ is the same as $R \# k[G]^*$ and $\tilde{R} \# G$, where $R \# k[G]^*$ is the smash product discussed in [8] (here we need R an algebra over a commutative ring k) and $\tilde{R} \# G$ is the ring defined for finite or infinite G in [10]. For infinite G , $G * R$ is the nonunitary subring $\bigoplus \tilde{R}p(x)$ of $\tilde{R} \# G$. $G * -$ is a covariant functor from the category of G -graded rings and grade-preserving ring homomorphisms to rings.

Note that $G * R$ is a ring with local units in the sense of either [1] or [2]. For every finite subset T of $G * R$ is contained in a subring of the form $f(G * R)f$, where f is an idempotent in $G * R$; let f be the sum of the v_g such that either v_gx or xv_g is nonzero for some $x \in T$. Since T is finite, this sum is finite, f is the required idempotent, and such idempotents commute.

A left $G * R$ -module M is called unitary if $(G * R)M = M$. It is straightforward to show that M is unitary if and only if for each finite subset T of M , there exists an idempotent f in $G * R$ such that $fm = m$ for all $m \in T$. Thus every submodule of a unitary left $G * R$ -module is unitary. Similar statements hold for right modules. Details may be found in [2] or [4].

It is shown in [4] that the group G acts as a group of ring automorphisms on $G * R$ on the left and right by

$$g(xv_h) = {}^g(xv_h) = xv_{hg^{-1}} \quad \text{and} \quad (xv_h)^g = xv_{hg}.$$

If G is finite, then $(G * R)^G = R$; otherwise $(G * R)^G = (0)$. It is also shown in [4] that the categories of unitary left (right) $G * R$ -modules and graded left (right) R -modules are isomorphic.

We now construct a Morita context $\{G * R, P = {}_{G * R}R_{R_e}, Q = {}_{R_e}R_{G * R}, R_e, [,], (,)\}$. In order to use the Morita theory for rings with local units developed in [2], we must show that P and Q are unitary bimodules and that

$$[,]: P \otimes_{R_e} Q \rightarrow G * R \quad \text{and} \quad (,): Q \otimes_{G * R} P \rightarrow R_e$$

satisfy the usual linearity and compatibility conditions [3].

Since R_e is a unitary subring of R , R is a left and right unitary R_e -module. Also, by the category isomorphism mentioned above, since R is a G -graded left and right R -module, R is a left and right unitary $G * R$ -module. In particular, the left and right $G * R$ -module structures of R are given by

$$(xv_g)y = xy_g \quad \text{and} \quad x(yv_g) = (xy)_{g^{-1}}.$$

Then R is a $G * R$ - R_e - and an R_e - $G * R$ -bimodule since

$$((xv_g)y)z = (xy_g)z = x(yz)_g = (xv_g)(yz) \quad \text{for } x, y \in R, z \in R_e,$$

and

$$x(y(zv_g)) = x(yz)_{g^{-1}} = (xyz)_{g^{-1}} = (xy)(zv_g) \quad \text{for } y, z \in R, x \in R_e.$$

Now, for $x, y \in R$, define $[\cdot, \cdot]: R \otimes_{R_e} R \rightarrow G * R$ by $[x, y] = \sum_{g \in G} (xv_g)(y_{g^{-1}}v_g) = \sum_{g \in G} xy_{g^{-1}}v_g$, and define $(\cdot, \cdot): R \otimes_{G * R} R \rightarrow R_e$ by $(x, y) = (xy)_e$. Note that $[\cdot, \cdot]$ and (\cdot, \cdot) are the maps defined in [8] for G finite. We must show that $[\cdot, \cdot]$ and (\cdot, \cdot) satisfy the necessary linearity and associativity conditions. We show that $[\cdot, \cdot]$ is left $G * R$ -linear. The remaining computations to check linearity are similar; for finite G , they may be found in [8]. For $x, y, z \in R$,

$$\begin{aligned} [(xv_g)y, z] &= [xy_g, z] \\ &= \sum_{h \in G} xy_g z_{h^{-1}}v_h \\ &= \sum_{h \in G} x(yz_{h^{-1}})_{gh^{-1}}v_h \\ &= (xv_g) \sum_{h \in G} yz_{h^{-1}}v_h \\ &= (xv_g)[y, z], \end{aligned}$$

so that $[\cdot, \cdot]$ is left $G * R$ -linear. Also

$$[x, y]z = \left(\sum_{g \in G} xy_{g^{-1}}v_g \right) z = \sum_{g \in G} xy_{g^{-1}}z_g = x(yz)_e = x(y, z)$$

and

$$x[y, z] = x \sum_{g \in G} yz_{g^{-1}}v_g = \sum_{g \in G} (xyz_{g^{-1}})_{g^{-1}} = (xy)_e z = (x, y)z,$$

so that the compatibility conditions are satisfied.

Therefore we have

THEOREM 1.2. *The sextuple $\{G * R, {}_{G * R}R_{R_e}, {}_{R_e}R_{G * R}, R_e, [,], (,)\}$ is a Morita context. The Morita context is strict if and only if R is strongly G -graded.*

Proof. From the discussion above, the given sextuple is a Morita context so we need prove only the last statement. By [2, Theorem 2.2], the functors $R \otimes_{R_e} : R_e\text{-Mod} \rightarrow G * R\text{-Mod}$ and $R \otimes_{G * R} : G * R\text{-Mod} \rightarrow R_e\text{-Mod}$ are inverse equivalences of categories if and only if $[,]$ and $(,)$ are surjective, where the module categories above are categories of unitary left modules. Since R has a unit element, $(,)$ is surjective and we must show that $[,]$ is surjective if and only if R is strongly G -graded.

Suppose $[,]$ is surjective and suppose $v_g = \sum_{i=1}^n [x_i, y_i] = \sum_{i=1}^n \sum_{h \in G} x_i y_{ih^{-1}} v_h$. Then $\sum_{i=1}^n x_i y_{ig^{-1}} = 1$ so that $\sum_{i=1}^n x_{ig} y_{ig^{-1}} = 1$ and $R_g R_{g^{-1}} = R_e$.

Conversely, suppose R is strongly G -graded. Then for all $g, h \in G, x \in R_g$, since $R_{gh} R_{h^{-1}} = R_g$, there exist $x_i \in R_{gh}, y_i \in R_{h^{-1}}$ such that $\sum_{i=1}^n x_i y_i = x$. Then $\sum_{i=1}^n [x_i, y_i] = \sum_{i=1}^n \sum_{t \in G} x_i y_{it^{-1}} v_t = \sum_{i=1}^n x_i y_i v_h = xv_h$, and $[,]$ is onto.

Now, let S be a ring with unit on which G acts as a group of ring automorphisms. Then we may form the skew group ring $S * G$ defined in the usual way as sums of elements $s * g, s \in S, g \in G$ with multiplication of these generators defined by $(s * g)(r * h) = sg(r) * gh$. $S * G$ is a strongly G -graded ring with $(S * G)_g = S * g$. Therefore by Theorem 1.2, the Morita context $\{G * (S * G), P = {}_{G * (S * G)}S * G_S, Q = {}_S S * G_{G * (S * G)}, S, [,], (,)\}$ is strict. We use this Morita context to show that $G * (S * G)$ is isomorphic to a ring of matrices over S , i.e., to prove a duality theorem for group actions.

First, we need some definitions and notation from [2]. If A and B are rings with local units and ${}_B N, {}_B M_A$ are unitary (bi-)modules as indicated, then $\text{Hom}_B(M, N)$ is a left A -module by putting, for $\phi \in \text{Hom}_B(M, N)$, $a \in A, m \in M, a\phi: m \rightarrow (ma)\phi$, where the action of ϕ is written on the right. The notation $A \text{ Hom}_B(M, N)$ means the largest unitary A -submodule of $\text{Hom}_B(M, N)$.

THEOREM 1.3. $G * (S * G) \cong M_G(S)^{\text{fin}}$, where $M_G(S)^{\text{fin}}$ denotes the ring of matrices over S with rows and columns indexed by G and with finitely many nonzero entries.

Proof. Since the Morita context above is strict, by [2, Theorems 2.1 and 2.2], $G * (S * G) \cong U = G * (S * G) \text{ End}_S(S * G)$, where U is the largest unitary left $G * (S * G)$ -submodule of $\text{End}_S(S * G)$.

Since $S * G = \bigoplus_{g \in G} (S * g)$, elements of $\text{End}_S(S * G)$ may be written as

matrices over S with rows and columns indexed by G and with the action of $\phi \in \text{End}_S(S * G)$ being matrix multiplication on the right. The g th row of the matrix associated with ϕ is determined by the image of $1 * g$ under ϕ , and so has only finitely many nonzero entries. Thus $\text{End}_S(S * G)$ is the ring of row finite matrices over S .

Suppose $\phi \in U$. Then since U is unitary, $\phi = (\sum_{h \in F} v_h) \phi$, where F is a finite subset of G . Then for any $g \in G$, $(1 * g) \phi = ((1 * g) \sum_{h \in F} v_h) \phi = (\sum_{h \in F} (1 * g)_{h^{-1}}) \phi$, which is zero if $g^{-1} \notin F$. Therefore only finitely many rows in the matrix associated with ϕ are nonzero and $\phi \in M_G(S)^{\text{fin}}$.

Also, $M_G(S)^{\text{fin}}$ is a unitary $G * (S * G)$ -submodule of $\text{End}_S(S * G)$. If $\phi \in M_G(S)^{\text{fin}}$, let $T = \{g: \text{the } g\text{th row of } \phi \text{ is nonzero}\}$. Then $\phi = (\sum_{g \in T} v_g) \phi$, and $M_G(S)^{\text{fin}} = U$.

The next section gives a similar proof of a duality theorem for coactions.

2. A DUALITY THEOREM FOR GROUP GRADED RINGS

Our aim in this section is to present a theorem describing duality for group coactions (gradings) using Morita theory arguments as in Section 1. Note that the isomorphism $(G * R) * G \cong M_G(R)^{\text{fin}}$ which we prove in Theorem 2.2 is implicit in [10, Lemma 2.2(iv)] since $\tilde{R} \# G = \tilde{R} \oplus [\bigoplus_{x \in G} \tilde{R}p(x)]$, where \tilde{R} is an isomorphic copy of R and $\bigoplus_{x \in G} \tilde{R}p(x) \cong G * R$.

Let R be a G -graded ring with unit. Then $G * R$ is an associative ring on which G acts as a group of automorphisms, so that we may form the skew group ring $(G * R) * G$, generated by $xv_g * h$, $x \in R$, $g, h \in G$, with $(xv_g * h)(yv_p * q) = (xv_g)(yv_{ph^{-1}}) * hq$.

LEMMA 2.1. $(G * R) * G$ is a ring with local units.

Proof. Let $T = \{w_1, \dots, w_n\}$ be a finite set of elements of $(G * R) * G$. We may assume that $w_i = x_i v_{g_i} * h_i$, where $x_i \in R_{m_i}$. Then let $F = \{t \in G: t = m_i g_i \text{ or } t = g_i h_i \text{ for some } i\}$. Let $f = \sum_{t \in F} v_t$. Then $f * e$ is an idempotent in $(G * R) * G$ and for $w = xv_g * h \in T$,

$$\begin{aligned} (f * e)(xv_g * h)(f * e) &= \left(\left(\sum_{t \in F} v_t \right) xv_g * h \right) (f * e) \\ &= (xv_g * h)(f * e) \quad \text{since } mg \in F \\ &= (xv_g) \left(\sum_{t \in F} v_{th^{-1}} \right) * h \\ &= xv_g * h \quad \text{since } gh \in F. \end{aligned}$$

Therefore $(G * R) * G$ has local units.

We now wish to define a Morita context of the form $\{(G * R) * G, P = {}_{(G * R)} * G * R_R, Q = {}_R G * R_{(G * R) * G}, R, [,], (,)\}$. Since $G * R$ has a left and right G -action, $G * R$ is a left $(G * R) * G$ -module under

$$(xv_g * h)(yv_t) = (xv_g)(^h(yv_t)) = (xv_g)(yv_{th^{-1}}) = xy_{gh^{-1}}v_{th^{-1}},$$

and a right $(G * R) * G$ -module under

$$(xv_g)(yv_t * h) = ((xv_g)(yv_t))^h = xy_{gt^{-1}}v_{th}.$$

This action makes $G * R$ a unitary left $(G * R) * G$ -module. For if $xv_h \in G * R$, then there exists $f = \sum_{g \in F} v_g$, F a finite subset of G , such that $f(xv_h) = xv_h$. Then $(f * e)(xv_h) = xv_h$ and $G * R = ((G * R) * G) G * R$. Similarly $G * R$ is a unitary right $(G * R) * G$ -module.

$G * R$ is a left and right R -module under $(xv_g)y = \sum_{h \in G} (xv_g)(yv_h) = \sum_{h \in G} xy_{gh^{-1}}v_h$ and $y(xv_g) = (yx)v_g$. Since $1(xv_g) = xv_g = (xv_g)1$, both left and right module structures are unitary.

We verify that $G * R$ is a $(G * R) * G$ - R -bimodule. The proof that it is an R -($G * R$) * G -bimodule is similar.

$$\begin{aligned} (xv_g * h)((yv_t)z) &= (xv_g * h) \left(\sum_{p \in G} yz_{tp^{-1}}v_p \right) \\ &= (xv_g) \left(\sum_{p \in G} yz_{tp^{-1}}v_{ph^{-1}} \right) \\ &= \sum_{p \in G} xy_{gh^{-1}}z_{tp^{-1}}v_{ph^{-1}} \\ &= \sum_{q \in G} xy_{gh^{-1}}z_{th^{-1}q}v_{q^{-1}} \\ &= (xy_{gh^{-1}}v_{th^{-1}})z \\ &= ((xv_g)(yv_{th^{-1}}))z \\ &= ((xv_g * h)(yv_t))z. \end{aligned}$$

Therefore $G * R$ is a $(G * R) * G$ - R -bimodule.

Now define $[,]: P \otimes_R Q \rightarrow (G * R) * G$ by

$$[xv_g, yv_h] = \sum_{p \in G} (xv_g * p)(yv_h * e) = \sum_{p \in G} xy_{gph^{-1}}v_{hp^{-1}} * p$$

for generators xv_g, yv_h of $G * R$. We must show that $[,]$ is left and right $(G * R) * G$ -linear and middle R -linear. We show that $[,]$ is right $(G * R) * G$ -linear by checking that linearity holds for generators.

$$\begin{aligned}
[xv_g, (yv_h)(zv_p * q)] &= [xv_g, yz_{hp^{-1}}v_{pq}] \\
&= \sum_{t \in G} x(yz_{hp^{-1}})_{gt(pq)^{-1}}v_{pqt^{-1}} * t \\
&= \sum_{t \in G} xy_{gtq^{-1}h^{-1}}z_{hp^{-1}}v_{pqt^{-1}} * t \\
&= \sum_{s \in G} xy_{gsh^{-1}}z_{hp^{-1}}v_{ps^{-1}} * sq \\
&= \left(\sum_{s \in G} xy_{gsh^{-1}}v_{hs^{-1}} * s \right) (zv_p * q) \\
&= [xv_g, yv_h](zv_p * q).
\end{aligned}$$

The remaining linearity verifications for $[\cdot, \cdot]$ are similar. Since for any generator $xv_g * h$ of $(G * R) * G$, $xv_g * h = [xv_g, v_{gh}]$, $[\cdot, \cdot]$ is onto.

Define $(\cdot, \cdot): Q \otimes_{(G * R) * G} P \rightarrow R$ by $(xv_g, yv_h) = xy_{gh^{-1}}$ for generators xv_g, yv_h of $G * R$. We show that (\cdot, \cdot) is middle $(G * R) * G$ -linear; the proofs of left and right R -linearity are similar.

$$\begin{aligned}
(xv_g(yv_h * p), zv_q) &= (xy_{gh^{-1}}v_{hp}, zv_q) \\
&= xy_{gh^{-1}}z_{hpq^{-1}} \\
&= x(yz_{hpq^{-1}})_{gpq^{-1}} \\
&= (xv_g, yz_{hpq^{-1}}v_{qp^{-1}}) \\
&= (xv_g, (yv_h * p)zv_q).
\end{aligned}$$

(\cdot, \cdot) is also onto since for $x \in R$, $x = (xv_g, v_g)$.

We must still check that $[\cdot, \cdot]$ and (\cdot, \cdot) satisfy the associativity conditions

$$[xv_g, yv_h]zv_p = xv_g(yv_h, zv_p)$$

and

$$(xv_g, yv_h)zv_p = xv_g[yv_h, zv_p].$$

We check that the first condition is satisfied; the second verification is similar.

$$\begin{aligned}
[xv_g, yv_h]zv_p &= \left(\sum_{q \in G} xy_{gqh^{-1}}v_{hq^{-1}} * q \right) (zv_p) \\
&= \sum_{q \in G} xy_{gqh^{-1}}z_{hp^{-1}}v_{pq^{-1}}
\end{aligned}$$

$$\begin{aligned}
&= \sum_{q \in G} x(yz_{hp^{-1}})_{gqp^{-1}} v_{pq^{-1}} \\
&= \sum_{t \in G} (xv_g)(yz_{hp^{-1}}v_t) \\
&= (xv_g)(yz_{hp^{-1}}) \\
&= (xv_g)(yv_h, zv_p).
\end{aligned}$$

Therefore $\{(G * R) * G, P, Q, R, [,], (,)\}$ is a strict Morita context and we have

THEOREM 2.2. $(G * R) * G \cong M_G(R)^{\text{fin}}$, the ring of matrices over R with rows and columns indexed by G and with finitely many nonzero entries.

Proof. By [2, Theorems 2.1 and 2.2], $N = (G * R) * G \text{ End}_R(G * R) \cong (G * R) * G$, the largest left unitary $(G * R) * G$ -submodule of $\text{End}_R(G * R)$. For ϕ in $\text{End}_R(G * R)$, ϕ is completely determined by its action on the v_g since ϕ is left R -linear. Therefore, if we represent the elements of $\text{End}_R(G * R)$ by matrices as in Theorem 1.3, $\text{End}_R(G * R)$ is the ring of row finite matrices over R with rows and columns indexed by G . If $\phi \in N$, then, since N is unitary, $\phi = u\phi$ for some idempotent u in $(G * R) * G$. Suppose $u = \sum_{i \in I} a_i * g_i$ with $a_i \in G * R$, $g_i \in G$. Let $F = \{t \in G: v_t a_i \neq 0 \text{ for some } a_i\}$, and $f = \sum_{t \in F} v_t$. Then $(f * e)u = u$. Therefore $\phi = (f * e)\phi$ and $(v_g)\phi = (\sum_{t \in F} v_g v_t)\phi = 0$ if $g \notin F$. Thus only finitely many rows of ϕ are nonzero so that $\phi \in M_G(R)^{\text{fin}}$ and $N \subset M_G(R)^{\text{fin}}$.

To show equality, we must show that $M_G(R)^{\text{fin}}$ is a unitary $(G * R) * G$ -module. Clearly $M_G(R)^{\text{fin}}$ is a $(G * R) * G$ -submodule of $\text{End}_R(G * R)$. Suppose $\chi \in M_G(R)^{\text{fin}}$, and let L be the finite set $\{g \in G: v_g \chi \neq 0\}$. Then $\chi = (\sum_{g \in L} v_g * e)\chi$ and $M_G(R)^{\text{fin}}$ is unitary.

It is interesting to note that the Morita context $\{(G * R) * G, P, Q, R, [,], (,)\}$ defined above coincides with that of Cohen [6] or [7] if G is finite. For then $G * R$ has a unit and $(G * R)^G = \{\sum_{g \in G} xv_g: x \in R\} \cong R$. One might ask if a more general Morita context exists for rings with G -action. The Morita context in Section 1 is strict if and only if R is strongly G -graded. What is the analogue to strong G -grading for rings with G -action?

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